



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,302	11/21/2001	Youichi Yamamoto	50023-158

CONFIRMATION NO. 9502

20277

MCDERMOTT WILL & EMERY  
600 13TH STREET, N.W.  
WASHINGTON, DC 20005-3096

FORMALITIES LETTER



\*OC000000007238485\*

Date Mailed: 12/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$84.  
    ■ \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

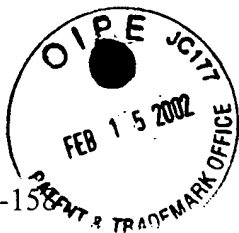
02/21/2002 EAREGAY1 00000038 500417 09989302

01 FC:101	740.00 CH
02 FC:102	84.00 CH
03 FC:105	130.00 CH

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No.: 50023-150

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Youichi YAMAMOTO, et al.

Serial No.: 09/989,302

Group Art Unit: 2185

Filed: November 21, 2001

Examiner:

For: DATA TRANSFER DEVICE, DATA TRANSFER METHOD, DATA TRANSFER  
PROGRAM AND COMPUTER READABLE STORAGE MEDIUM THEREOF

**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated December 28, 2001,  
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$740.00
3. Late Filing Fee Surcharge of \$130.00
4. Additional Claims fee of \$84.00
5. Assignment
6. Preliminary Amendment
7. Request for Corrected Filing Receipt

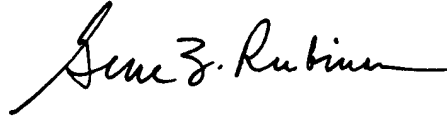
Please charge Deposit Account No. 500417 in the amount of \$954.00. To the extent  
necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

09/989,302

shortage in fees due in connection with the filing of this paper, including extension of time fees,  
to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

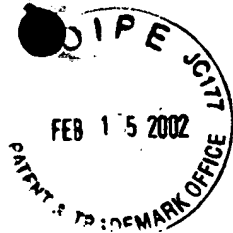
MCDERMOTT, WILL & EMERY

A handwritten signature in cursive script, reading "Gene Z. Robinson".

Gene Z. Robinson  
Registration No. 33,351

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 GZR:prp  
**Date: February 15, 2002**  
Facsimile: (202) 756-8087

Docket No.: 50023-158



Receipt  
#  
#  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Youichi YAMAMOTO, et al.

Serial No.: 09/989,302

Group Art Unit: 2185

Filed: November 21, 2001

Examiner:

For: DATA TRANSFER DEVICE, DATA TRANSFER METHOD, DATA TRANSFER  
PROGRAM AND COMPUTER READABLE STORAGE MEDIUM THEREOF

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the last Inventor's name is misspelled on the Filing Receipt. Attached is a copy of the Declaration and Power of Attorney, which evidences that the Inventor's name should read: **Isamu ISHIMURA**.

It is requested that a corrected Filing Receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

A handwritten signature in cursive script, appearing to read "Gene Z. Robinson".

Gene Z. Robinson  
Registration No. 33,351

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 GZR:prp  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/989,302	11/21/2001	2185	0.00	50023-158	31	8	4

20277

MCDERMOTT WILL &amp; EMERY

600 13TH STREET, N.W.

WASHINGTON, DC 20005-3096



CONFIRMATION NO. 9502

## FILING RECEIPT



\*OC000000007238484\*

Date Mailed: 12/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Youichi Yamamoto, Residence Not Provided;  
Yoshihiro Tabira, Residence Not Provided;  
Isamu Ishimura, Residence Not Provided;

Ishimura

Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-355404 11/22/2000

If Required, Foreign Filing License Granted 12/28/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Data transfer device, data transfer method, data transfer program and computer readable storage medium thereof

## Preliminary Class

711